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RESEARCH ARTICLE

Title: Defining peatland restoration in Central Kalimantan, Indonesia

Running head: Defining Peatland Restoration

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Abstract (<250):

Indonesia declared an ambitious plan to restore its degraded and fire-prone peatlands, which have been a source of significant greenhouse gas and haze. However, the progress has been slow and the plan cannot succeed without sustained social supports and political will. Although many previous studies argued for the need to see ecological restoration in socio-economic contexts, empirical assessments have been lacking for how restoration is operationalized on the ground. We interviewed 47 key informants involved in four different projects in Central Kalimantan, Indonesia, and assessed their definitions, goals and practices of peatland restoration. Most of the actors we interviewed defined peatland restoration primarily in an ecological context following the global concept of ecological restoration. However, all four restoration projects were designed without determining reference and trajectory conditions. Their intermediate goals and practices were more focused on engaging local communities and developing sustainable livelihood options than improving the ecological conditions of peatlands. To be internally consistent, peatland restoration needs to recognize a social dimension in its process, as well as in its goal. Setting clear trajectory conditions is also important to clarify achievable goals and measurable intermediate outcomes. We propose the following definition of peatland restoration: a process of assisting the recovery of degraded peatland ecosystem to achieve the appropriate trajectories defined through multi-stakeholder collaboration within social-ecological contexts. We hope to generate healthy debates to further refine the definition that encompasses both social and ecological dimensions to generate broader support for sustaining and expanding peatland restoration projects in Indonesia.

Key words: peatland, tropical developing country, peatland restoration, ecological restoration, Indonesia.

Conceptual Implications (<120):

- This study provides on-the-ground evidence for the need to see ecological restoration in socio-economic contexts.
- We propose a definition of peatland restoration in Indonesia with acknowledgements of complexities and uncertainty of restoring severely damaged ecosystem under developmental pressure and climate change.
- Peatland restoration is a process of assisting the recovery of degraded peatland ecosystem to achieve the appropriate trajectories defined through multi-stakeholder collaboration within social-ecological contexts.
- To accomplish its ambitious plan, Indonesian government should define reference and trajectory conditions for peatland restoration to clarify achievable goals and measurable intermediate outcomes.
- The new definition can help develop feasible and practical guidelines for restoring Indonesia's peatlands within its social-ecological context.

1 INTRODUCTION

2 The peatland ecosystem is the world's largest terrestrial carbon pool and long-term
3 carbon sink that contains 550 Gigatons (Gt) of carbon (Joosten&Couwenberg 2008, Barthelmess
4 et al. 2015). Peatlands in tropics hold more carbon per hectare (10 times compared to mineral
5 soil) than peatlands in boreal (7 times) and subpolar (3.5 times) systems (Joosten&Couwenberg
6 2008). Indonesia holds the second largest tropical peatlands in the world (22.5 million ha)
7 holding 28.1 Gt of carbon, next to Brazil (31 million ha) (Gumbrecht et al. 2017, Warren et al.
8 2017). Indonesia's peatlands have undergone extensive disturbances due to illegal mining
9 (Dommain et al. 2016), overfishing (Hergoualc'h et al. 2017), and illegal and legal logging
10 (Suyanto et al. 2009, Anshari et al. 2010, Dommain et al. 2016, Hergoualc'h et al. 2017). Some
11 of these activities for subsistence can be traced back many decades (Medrilzam et al. 2014,
12 Meijaard et al. 2013). More recently, rapid expansion of commercial agriculture and industrial
13 plantations created intense pressures on Indonesia's peatlands (Page et al. 2011). Draining and
14 clearing peatlands cause peat to dry out and become more susceptible to fire (Turetsky et al.
15 2015, Osaki et al. 2016, Miettinen et al. 2016). After the severe fire season in 2015 emitting 1.5
16 billion mtCO (Field et al. 2016), the Indonesian government established the Peatland Restoration
17 Agency and declared the ambitious plan of restoring two million hectares of degraded peatlands
18 within five years by 2020 (Presidential Decree 2016). Although several peatland restoration
19 projects have been initiated and moving forward, the plan is severely underfunded (Hansson&
20 Dargusch, 2018) and has only achieved 5 percent of the target as of 2018 (Jong 2018).

21 Restoration projects in tropical ecosystems, such as peatlands, operate under ill-defined
22 property rights, weak governance, and low economic development (Putz& Redford 2010, Phelps
23 et al. 2010, Larson 2011). International pressure and external financial supports that initiated the
24 projects are often insufficient to sustain the efforts. For Indonesia's ambitious plan to succeed, it
25 is essential to create an internally consistent shared vision for peatland restoration among
26 populations with diverse values. One of the major barriers for creating the shared vision is the
27 lack of a clear definition of peatland restoration (*restorasi*). The term is often used
28 interchangeably with other terminologies even in the Ministerial regulations, such as
29 rehabilitation (*rehabilitasi*) and reclamation (*reklamasi*). Unlike restoration, rehabilitation is the
30 process of restoring ecosystem functionality without regards to the recovery of native biota in an
31 appropriate native reference ecosystem (McDonald et al. 2016a; Gann et al. 2019). Reclamation

32 is returning and stabilizing the land to assure public safety and improve the aesthetic for a useful
33 purpose (SER 2002, 2004). The different terms used create confusion among restoration
34 proponents and practitioners as to what their goals should be and how to design and implement
35 projects at the local level and monitor their progress.

36 Another barrier is lack of consideration for social dimensions in defining restoration.
37 Indonesia estimates that 13.5% (16.31 million) of the total population eligible for the state's
38 poverty assistance benefit resides within and around forest areas, including peatlands (Badan
39 Pusat Statistik 2018). Forest and peatland restoration efforts in Indonesia cannot be successful
40 without addressing the livelihood needs of the marginalized populations. Another need to
41 incorporate social dimension arises from the uncertainty of what can be restored. Past
42 anthropogenic disturbances involved draining water out of peatlands by means of drainage
43 canals, which dried out peat and affected the hydrological dynamics of the whole landscape
44 (Andariesse 1998, Huat et al. 2014, Joosten et al. 2016). The disturbances in water balance can
45 alter the peat to become resistant to rewetting and susceptible to fires indefinitely, perhaps
46 permanently (Turetsky et al. 2015). With worsening effects of climate change and increasing
47 anthropogenic pressures for land use change, restoring pre-disturbance conditions may not be
48 possible. Peatland restoration projects should be able to provide supporting conditions for fire
49 prevention, as well as peat initiation and accumulation (Page et al. 2004). Thus, what constitutes
50 a properly functioning ecosystem and the types of trajectories that would characterize the
51 recovery of degraded ecosystems has to be defined within the socioeconomic and political
52 contexts, as well as the ecological one (Temperton 2007).

53 Globally, peatland restoration would be considered as a type of 'ecological restoration',
54 which is often defined without explicit consideration of social dimensions. For example, some of
55 the most widely accepted definitions, such as one by the Society for Ecological Restoration (SER
56 2004) and the United Nations Convention on Biological Diversity (CBD 2016), defined
57 ecological restoration as the "process of assisting the recovery of a degraded, damaged or
58 destroyed ecosystem." While the definition helps us understand what ecological restoration does,
59 it lacks social dimensions for clarifying why we should care (Martin 2017). Other definitions
60 added a point on human well-being such as stating that restoration is "to fulfill society's needs"
61 (UN Environment 2019) and to restore "vital ecological and social functions" (GPFLR 2018).

62 Although social dimensions were addressed as part of the restoration goals in these definitions,
63 they were not acknowledged for their role throughout the process of restoring an ecosystem.

64 Many have argued over the years for the need to see restoration in much larger, expanded
65 socio-economic contexts and redefine ecological restoration by including human and social
66 dimensions (Clewell& Aronson 2006, Gosnell& Kelly 2010, Higgs 1997, Higgs et al. 2014,
67 Kim& Hjerpe 2011, Hallett et al. 2013, Shackelford et al. 2013, Suding et al. 2015, Martin 2017,
68 Temperton 2007, Swart et al. 2018). However, there is lack of assessments for how the definition
69 is operationalized and linked to goal setting in on-the-ground restoration (Hallett et al. 2013). An
70 ecosystem is comprised of “interacting, cross-scaled, coupled systems” (Swart et al. 2018) with
71 increasing human impacts and pressures (Temperton 2007, Swart et al. 2018, Wiens&Hobbs
72 2015). Thus, ecological restoration should be redefined as social-ecological restoration with
73 broader views accommodating: 1) the cultural and social aspects reflecting interests and concerns
74 of a diverse population; 2) the objective to generate a healthier relationship between people and
75 the ecosystem; 3) emphasis on the relationships among science, human, and nature (Higgs 1997,
76 Temperton 2007, Gosnell&Kelly 2010, Martin 2017). Higgs et al. (2014) proposed a new
77 generation of restoration projects (Restoration v2.0) to acknowledge the complexity of ecological
78 processes and multiple trajectories, while recognizing the need for a pragmatic approach for
79 addressing human livelihood and cultural needs.

80 To incorporate these broader views to focus on restoring social-ecological systems, the
81 global concept of restoration should be redefined and practiced within the social, economic and
82 political contexts of the restoration location. The proposed process of this translation is shown in
83 Figure 1.

84

85 <Figure 1>

86

87 International principles and standards of ecological restoration have been identified by
88 the Society for Ecological Restoration (2004, 2nd edition in Gann et al. 2019), which can serve as
89 the starting point for Indonesia to define peatland restoration. One of the key principles defining
90 ecological restoration is having a predetermined reference ecosystem for guiding activities of
91 restoring ecosystems. The reference ecosystem helps identify native and non-native (exotic)
92 species, as well as any missing ecological community group or ecological function. It is the

93 model or benchmark of restoration representing non-degraded ecosystem for planning and
94 evaluating projects (SER 2004, McDonald et al. 2016b). Ecosystems are dynamic and multi-
95 layered, thus reference conditions are more “tapestries of multiple and successive states”, rather
96 than a single snapshot frozen in time (Balaguer et al. 2014). Trajectory condition is “a course or
97 pathway of recovery or adaptation of an ecosystem over time” (McDonald et al. 2016b), and a
98 “developmental pathway” towards desired ecosystem (SER 2004). Building a sustainable and
99 resilient ecosystem is the main focus of many restoration projects, especially in the face of
100 climate change. It is important not only to understand the ecosystem functions in the past, but
101 also desired state in the future (Suding et al. 2015) by embracing the dynamics within ecosystem,
102 especially the potential of native species and communities to recover, reassemble, adapt and
103 evolve (Gann et al. 2019). The attributes of ecological restoration, as well as international
104 principles and standards help set specific goals and evaluation parameters to provide important
105 metrics of success for restored ecosystem. Location-specific contexts, such as land use history
106 and biophysical conditions, as well as fine-scale reference conditions, should factor into
107 operationalizing ecological restoration at the landscape level. We applied this framework in
108 Figure 1 to assess four peatland restoration projects in Central Kalimantan.

109 The research questions are: 1) how do current proponents and practitioners of peatland
110 restoration define restoration?; 2) how were the restoration goals articulated and translated into
111 practice? Based on the findings, we propose a new definition of peatland restoration, which is
112 meant to serve as a starting point for public discourse to create a shared vision for peatland
113 restoration in Indonesia.

114

115 **METHODS**

116 **Study Area**

117 One third of peatlands in Indonesia is located in the western and south-central regions of
118 Kalimantan island (32%) with thickness ranging from shallow (50-100 cm) to very deep (more
119 than 400 cm) (Osaki et al. 2016) (See Figure 2). Central Kalimantan Province is a home to one
120 of the largest contiguous peatland areas in the world and the third largest in terms of its total land
121 size and size of peatlands in Indonesia (Warren et al. 2017). Construction of drainage and
122 irrigation channels, which peaked with the 1996 mega-rice project, degraded most of the
123 peatlands in Central Kalimantan (Joosten et al. 2016). The mega rice project to convert peatlands

124 into rice field created more than 4,000km of drainage and irrigation channels in central
125 Kalimantan from 1996-1998 (Boehm& Siegert, 2001). While degraded peatlands created
126 subsidence and greater fire frequency, drainage and canals also improved access to previously
127 inaccessible interior parts of forests and peatlands, for further disturbances, such as legal and
128 illegal logging and land clearing (Boehm& Siegert, 2001).

129

130 <Figure 2>

131

132 There are several ongoing peatland restoration projects involving different actors in
133 Central Kalimantan. We selected the four largest peatland restoration projects managed by
134 different entities and assessed: 1) a national park partnered with a non-governmental
135 organization (NGO) (hereafter referred as project A), 2) a government agency partnered with a
136 NGO (hereafter referred as project B), 3) a private company partnered with a NGO (hereafter
137 referred as project C), and 4) a private company partnered with an university (hereafter referred
138 as project D) (See Figure 2 for the study area location and Table 1 for the project details).

139 Although the drivers of peatland degradation are similar for all four projects sites, these four
140 projects show a range of different restoration proponents in Indonesia from public agencies to
141 private companies pursuing for-profit motives though carbon trading. They cover more than 1
142 million ha of peatlands across six districts in Central Kalimantan Province, Indonesia.

143

144 <Table 1>

145

146 **Data Collection**

147 We employed semi-structured interviews to assess shared understanding among the main
148 actors working on peatland restoration projects in Central Kalimantan. The selection of
149 interviewees was based on their familiarity with peatland restoration following the purposive
150 sampling methodology (Teddlie & Tashakkori 2009). Our questions focused on the restoration
151 projects and management, so the key informants were limited to those who held formal positions
152 in restoration organizations with relevant knowledge and were willing to participate. Using the
153 research questions as the guideline, we conducted interviews until the saturation point was
154 reached. The saturation point is when there are no new insights gained by additional interviews

155 (Glaser & Strauss 1967) and the collected data has met the needs to address research questions
156 without stretching too widely and affecting the coherence (Saunders et al. 2017).

157 Data collection was being carried out in 2017. We interviewed 47 key informants from
158 20 different institutions totaling 39 hours of interviews. The informants were from restoration
159 and community forums (23%), a national park management (17%), NGOs (17%), private
160 concessions (17%), local governments (13%), a national government agency (9%), a forest
161 management unit (2%), and one university (2%). We asked open-ended questions to allow the
162 respondents to elaborate on their responses and identify additional issues, which would not be
163 possible in a closed ended question format (Jamshed 2014, O'Cathain & Thomas 2004). Audio-
164 recorded interviews were transcribed using F5 Transcription PRO (Haselberger 2018). We
165 constructed codebooks to define themes and sub-themes with example of response emerged in
166 interviews (Lavrakas 2008). It is used to guide a coherent coding process. In an attempt to allow
167 for new themes to emerge, the final codebooks were developed as well (Blair 2015). Using the
168 codebooks as a guidance, themes and sub-themes within the data were identified then coded or
169 grouped using NVivo for Mac version 11.4.2 (QSR International Pty Ltd 2017). The questions
170 and the data collection procedure were reviewed and approved by the Internal Review Board for
171 human subject research at the authors' home institution.

172

173 **RESULTS**

174 **Definitions of Peatland Restoration**

175 The majority of actors we interviewed defined peatland restoration primarily in
176 ecological contexts (92-100%) (Table 2). The presence of drainage canals was commonly
177 identified as the main driver of peatland degradation. The restoration projects were designed to
178 block these canals to bring the waterlogged condition back before revegetating the peatlands.
179 Although restoration was defined as the process of bringing back former, presumably natural,
180 ecosystems, it was acknowledged that irreversible damage may have occurred.

181

182 <Table 2>

183

184 Some offered a more pragmatic definition of restoration as a process of improving
185 ecological conditions, preventing further degradation and protecting the existing good peatlands
186 from fires.

187

188 “Restoration? [it’s] restoring to its former condition [prior to the disturbance]. Well, we
189 know there won’t be, we would never achieve precise former [peat] condition. But, it’s
190 the only way to protect the peatland from further degradation.” – forest manager
191 working for Project A.

192

193 “Definition of restoration, restoration—what we expected—is the locations [area or
194 peat forest] would return to the former [condition]. Restoring. But, we hope that it
195 would be—at least—equal to [the characteristics of] secondary forest, comparable to
196 the secondary forest [in general]” - university lecturer working for Project D.

197

198 Although a minority (8-32%), some respondents acknowledged that social contexts
199 cannot be ignored when defining peatland restoration. Social aspects were highlighted by more
200 respondents (32%) working on the government-led project (Project B), although they tended to
201 be narrowly focused on generating direct benefits to communities, such as the increased water
202 level being useful in preventing fires, and revegetation with commercial crops.

203 “We restore the peat to be beneficial [peat]lands...we replant the [peat] forest with
204 crops like rubber [*Hevea brasiliensis*] that is potential to improve the community’s
205 welfare. If we improve the community welfare, the [peatland] area would be
206 maintained.” – forest manager working for Project B.

207

208 Some respondents emphasized restoration in a more holistic sense, e.g., the needs of
209 ‘restoring’ native ecosystem with native tree species that existed previously in peatlands and
210 building community understanding to increase their participation, which highlighted diverse
211 values among restoration actors and local communities.

212

213 “[Restoration] is restoring [peat] its functions, but, accompanied by understanding the
214 level of community support [who lived] nearby. When there were no supports from the
215 community, [restoration] is non-sense, regardless of costs incurred, supports, [and]
216 policies...how the [community] engage, the knowledge transfer, [those are] all that
217 matters on restoration.” -NGO officer working for Project A.

218

219 **Goals of Peatland Restoration**

220 Peatland restoration is a long-term process beyond the planning horizons of the projects.
221 We defined ultimate goals as the end outcomes of peatland restoration that the restoration actors
222 hoped to achieve in the long run. Achieving these goals may be beyond the control of the project
223 actors as they are affected by climate change and other global forces (Lavendel 2003).
224 Intermediate goals are those that the project actors can reasonably expect to accomplish within
225 the project duration. We examined ultimate and intermediate goals established by the project
226 actors for their project areas (Table 3).

227

228 <Table 3>

229

230 The majority of the respondents in all projects identified both ecological and social goals
231 as their ultimate goals, such as restoring ecological/hydrologic functions of peatlands and
232 enhancing community welfare and promoting their participations in restoration projects.
233 Although all respondents from Projects C and D defined restoration primarily in ecological
234 contexts, some identified their ultimate goals, not as restoring ecological conditions, but as
235 carbon trading and reducing greenhouse gas (GHG) emission. This is understandable as these
236 projects were initiated by private companies with carbon trading goals. Only in project A
237 initiated in a national park, did all respondents identify ecological goals as the ultimate goals.

238 Some of the intermediate goals common in all projects were completion of physical
239 restoration facilities such as canal blocking to increase the water level in peatland areas and
240 prevent the recurring peat fires. Activities related to physical construction of facilities and fire
241 prevention were two most important and realistic intermediate goals that would allow the peat
242 forest to recover or naturally regenerate. However, most of the respondents agreed that just
243 focusing on recovering biophysical properties of peatlands is not enough for restoration projects
244 to succeed in Central Kalimantan. Building human capital and engaging communities towards
245 peatland restoration were identified as critical intermediate goals across all projects.

246 Although all projects identified engaging local communities and gaining their supports as
247 their intermediate goals, their specific goals vary by projects as participating actors and funders
248 differ. For example, Project A is a partnership between national park agency and NGO focusing
249 on wildlife conservation. Their goals include returning the key species to their original habitats.
250 Frequently stated key species were ‘*orangutan*’ (*Pongo pigmaeus*), which is a species of

251 critically endangered great apes, and ‘*ramin*’ (*Gonystylus bancanus*), which is vulnerable
252 hardwood species, and both are native to Indonesia (IUCN 2016). For the government led-
253 project, actors working on Project B saw restoration as a potential means for meeting Indonesia’s
254 commitment to reduce GHG emissions. For private companies, such as Projects C and D,
255 specific immediate goals include accomplishing annual work plans for the board of management
256 and investors.

257 **Innovations in Practices**

258 Based on literature (Kimball et al. 2015, Dohong 2016), we grouped restoration activities
259 into two groups: pre- and main restoration. The pre-restoration activities, such as research and
260 public consultation or socialization/campaign, would take place before restoration project
261 implementation. The main restoration activities are those for: 1) rewetting dried peatland, 2)
262 revegetation or replanting trees, and 3) revitalizing existing livelihood options or developing
263 more sustainable alternatives. All projects we studied included activities to increase community
264 participation and engagement. The focus was on helping community members understand the
265 importance of peatland restoration, and the needs to reduce the anthropogenic pressure and
266 human-caused fires on the peatlands. Increasing community engagement was identified as part of
267 the exit strategy to maintain the restoration for the long run. Examples of the
268 socialization/education activities were identifying and promoting alternative livelihood options
269 that do not involve draining the peatland and land preparation techniques without using fire.
270 While most of the approaches were relatively similar from one project to another, each project
271 had a unique strategy formulated specifically for addressing the challenges they faced (Table 3).
272 The main innovations are mostly to tackle social issues.

273 While the emphasis of Project A is on the ecological realm, especially biodiversity
274 conservation on a national park, their innovations are primarily for addressing the social
275 challenges. They created innovative programs, such as tree adoption, canal blocking adoption (in
276 planning), and a trust fund, to encourage community participation. Tree adoption was a part of
277 revegetation efforts to increase local community involvement in monitoring and maintaining
278 planted trees. They selected the species that can be beneficial for the community without being
279 logged. For example, ‘*jelutung*’ (*Dyera polyphylla*) produces resin, which local community can
280 collect and sell without cutting the trees. The idea behind tree adoption is to encourage local
281 communities to recognize the responsibility for looking after growth of the plants, rather than

282 perceiving the tree planting work as a one-time contract work. The responders working on
283 Project A stated that having the tree adoption program helped achieve more efficient
284 revegetation and increased the community's sense of responsibility. They also plan to initiate the
285 similar approach for canal blocking. To sustain their restoration efforts beyond the project
286 duration, Project A established a trust fund to overcome funding constraints and build more
287 flexibility in budgeting and more sustainable financing.

288 In Project B, the main actor is the national government agency, Peatland Restoration
289 Agency, leading Indonesia's peatland restoration. Their definition of peatland restoration also
290 emphasized the ecological dimension more than the social dimension. However, their articulated
291 goals and practices underlined social dimensions for building legal security and guidelines to
292 ensure community voluntary-participations in the long run. They developed an indicative map of
293 restoration and brought together relevant laws and technical guidelines as Indonesia's peatland
294 restoration standards. They include guidelines on '*desa peduli gambut*' (peat care village),
295 rewetting infrastructure, revegetation, socialization/ campaign, water level monitoring, and
296 building a social safety framework, as well as relevant village's regulations and laws. The actors
297 in Project B formed many types of community groups or forums, i.e., Forum Hapakat Lestari,
298 '*desa peduli gambut*' (peat care villages) and '*masyarakat peduli tabat (MPT)*' (canal blocking
299 care community). These community-based groups work at different spatial scales (district and
300 village level) and as a platform to discuss and implement the plans and activities. They also
301 facilitated young/ junior researchers to conduct research in order to generate fresh ideas for the
302 future of peatland restoration. Although the goal is to facilitate academic research in at least
303 1,000 villages, the funding of the young researchers' project is yet to be specified.

304 The respondents working on Project C also defined restoration mostly in the ecological
305 dimension but emphasized social aspects in their goal-setting and implementation. Project C
306 activities include several innovative approaches to address anthropogenic pressures from local
307 communities. For example, they developed sustainable livelihood options with communities
308 bottom-up. The community members and project managers went through a baseline study
309 together to assess current social and economic conditions of the communities then determined
310 their work plan based on the discussions. The project managers assisted the communities to
311 formulate and implement various programs, such as microfinancing and coconut sugar
312 production. Each community and project managers developed an agreement based on the

313 community's interests, which specified various programs to be implemented. They targeted
314 illegal loggers, low-income and unemployed community members to participate in their
315 programs. The project also initiated an agroecology school for farmers to operationalize
316 ecological principles into farming practices. The agroecology school initially targeted 18 farmers
317 from two districts with focus on knowledge transfer on techniques for land clearing without
318 using fires and controlling weed without using herbicides. The curriculum included climate
319 changes and building adaptive communities.

320 Project D shared similar views with other restoration projects in defining the restoration.
321 A significant proportion of their goals (40% in Table 2) was to address social dimensions. Their
322 practices were primarily designed to transfer knowledge and empower people. The project
323 partnered with a local university to encourage college students to study degraded peatland
324 ecosystem under the "Living Classroom" program. This program enabled the students to apply
325 lessons they learned in classrooms to on-ground practices. Under the partnership, the local
326 university lecturers and students conducted routine field visits to the restoration concession area.
327 The students directly participated in the research and restoration efforts, such as biodiversity
328 inventory, camera trap, and assisting community programs.

329

330 **DISCUSSION**

331 We have assessed four on-going peatland restoration projects in Central Kalimantan to
332 understand how restoration proponents and managers define the concept of ecological restoration
333 and connect their goal setting to practices. We have summarized our major findings and their
334 implications below.

335 *Need for determining reference and trajectory conditions.*

336 Most respondents we interviewed defined peatland restoration primarily in an ecological
337 context, restoring ecological/hydrological functions of peatlands to the "*conditions prior to the*
338 *disturbances.*" However, the respondents also acknowledged multiple disturbances have
339 occurred in the peatland ecosystem over many decades and they do not have clear understanding
340 of what the reference condition should be. As found in government policy documents,
341 respondents used the term, restoration (*restorasi*), interchangeably with other terms, such as
342 rehabilitation (*rehabilitasi*) and reclamation (*reklamasi*). Ecological restoration emphasizes re-
343 establishing a reference ecosystem condition with respect to its species composition and

344 community structure, unlike in rehabilitation and reclamation (SER 2004). For peatland
345 restoration to be ecological restoration, it is important to define one or more reference
346 ecosystems for planning purposes to design trajectory for restoration projects. A simple
347 comparison of pre- and post-disturbance would unlikely to meet the needs to build resilient,
348 desired ecosystem (Balaguer et al. 2014). A selected reference condition, therefore, may reflect
349 one among many potential states within the historic range of a certain ecosystem and may reflect
350 a combination of stochastic events during the development of the ecosystem (SER 2002, 2004,
351 Balaguer et al. 2014). SER (2002, 2004) argued that projects can be categorized as restorative if
352 the activities serve to improve environmental conditions of an ecosystem—with values and
353 principles inspired by ecological restoration—and move to broaden ecological recovery of the
354 system.

355 Peatland-specific regulation, i.e. Government Ordinance No. 71 Year 2014 and No. 57
356 Year 2016 and MoEF Decree No. 16/2017, affirmed that the goal of restoration is to return the
357 nature and functions of peatland ecosystem through natural succession, hydrology restoration,
358 vegetation rehabilitation or other appropriate methods. While these regulations describe the
359 methods, it does not specify the reference and trajectory conditions for goal-setting and program
360 monitoring and evaluation. In other parts of the world, the point of major anthropogenic
361 disturbances was used to set the reference condition. For example, in the dry-conifer forests of
362 the western United States, the forest condition before major disruptions associated with 19th
363 century Euro-American settlement is widely considered as the reference condition (Abella et al.
364 2007). While the recent land use changes for commercial agriculture and industrial plantation
365 since the 1970s can serve as the point of major anthropogenic disturbances in Indonesia's
366 peatlands, it is hard to characterize the prior ecological conditions. Defining trajectory conditions
367 for peatlands in Indonesia is also challenging due to acute development pressures and climate
368 change. Without developing alternative livelihood options, land use conversion will continue.
369 Previous attempts to curb land use change, such as the logging moratorium policy, have not been
370 effective to reduce forest conversion because monoculture plantation is too lucrative for private
371 companies and smallholders to give up the practices (Suwarno et al. 2015). Thus, determining
372 trajectory conditions will have to consider the feasibility of the pathways to peatland restoration
373 that can generate political will and multi-stakeholder participation in the long run. Our results
374 confirmed that there is no clear or shared understanding of the reference and trajectory

375 conditions among the restoration actors that we interviewed. There is an urgent need to define
376 an appropriate native reference ecosystem and native biota. Without a clear reference condition,
377 it would be hard for the current efforts to move beyond rehabilitation (SER 2004).

378 *Peatland restoration as social endeavors*

379 While the previous definitions of ecological restoration primarily embraced ecological
380 fidelity (SER 2004, UN CBD 2016), current scientific and social trends require redefining
381 ecological restoration (Gosnell& Kelly 2010, Higgs et al. 2014, Martin 2017). Our results show
382 that while only some actors recognized social dimensions in defining restoration on Indonesia's
383 peatlands, most of them emphasized local community engagement in their goals and practices.
384 The respondents emphasized the importance of understanding and securing support from local
385 communities to increase voluntary participation in reducing anthropogenic pressure. All four
386 projects incorporated both ecological and social aspects in their ultimate and intermediate goals.
387 They are expected to increase community awareness for ecological and cultural importance of
388 peatlands by involving local communities in passive and active restoration. In active restoration,
389 the community members are employed as paid-workers (full time and part time) to plant trees
390 and construct restoration facilities. Passive restoration is stopping anthropogenic activities as
391 restoration efforts. The interview results confirmed the prevalence of anthropogenic activities,
392 such as fishing, hunting, illegal logging, illegal settlement, and grazing within and nearby
393 restoration area. Canal blocking, which is a restorative activity, can be destroyed after
394 construction not only because blocked canals might inhibit local community's livelihood
395 activities, but also because the community members do not understand their purpose. To
396 overcome these challenges, the project actors are trying to share their knowledge and technology
397 to change community perception and practices. They assist communities to improve their land
398 clearing techniques and seek sustainable alternative livelihood options. These strategies to
399 recognize social demands in the restoration approach can reduce the pressures from
400 anthropogenic activities. Recognizing the social dimension within restoration enabled the
401 restoration actors in Central Kalimantan to have broader multiple goals and objectives, as
402 suggested by Martin (2017). It can also help define more clear roles and responsibilities with the
403 communities.

404 *Setting measurable goals for peatland restoration*

405 For the intermediate goals, actors we interviewed identified both ecological and social
406 goals, such as preventing recurring fires, constructing infrastructure, maintaining the forest and
407 achieving target as planned in their annual work plans. These goals primarily focused on setting
408 reasonable targets that can be accomplished within short term or during the project duration.
409 However, they also acknowledged uncertainties regarding the time frame to accomplish restored
410 peatlands and even expressed their pessimism. Once exposed to intensive drying and the sun,
411 peat resists rewetting (Page et al. 2004). Damages occurred in peatlands may be irreversible and
412 restoration efforts may not be able to generate ‘pristine’ peatland conditions (Andarisses 1988,
413 Huat et al. 2014). However, all projects we studied focused on implementing rewetting
414 treatments without long term assessments of their effectiveness. Even if the restoration
415 treatments do not restore degraded peatlands, they may assist the process of creating favorable
416 condition to allow for organic materials to accumulate and form new peat. However, peat
417 accumulation and formation processes, which depend on particular environmental conditions,
418 may take over 2,500 years to accumulate 3.5 meters of peat (Page et al. 2004). Obligated to
419 identify measurable targets, the actors established reasonable and measurable goals to achieve
420 within the duration of a project, such as the numbers of canal blocking facilities built, numbers of
421 villages assisted, and hectares of trees replanted. However, we found that they do not have
422 specific ideas about how to link these intermediate goals to long-term effectiveness of restoration
423 project. To meet its national commitment to restore peatlands, it is important for the Indonesian
424 government to develop consistent guidelines for monitoring and reporting the progress of
425 peatland restoration by linking intermediate and ultimate goals.

426 *Innovations in practices*

427 Despite of the different ecological, socio-economic and political complexities that they
428 face, the project actors translated their concepts and goals into similar main activities: rewetting,
429 revegetation, and revitalization of livelihood. They formulated distinct approaches to address
430 specific challenges that they faced. Some of the breakthroughs are tree adoption, farmers’ school
431 in agroecology, trust fund, young researcher fellowship, and living (outdoor) classroom. Most of
432 these innovations are designed to address social aspects, especially fostering knowledge transfer
433 for sustainable peatland management as well as encouraging broader participation from the
434 communities, academic researchers, and other related parties, such as NGOs, government
435 agencies and private companies. These practices of community engagement address several key

436 social goals that have been discussed in literature as critical for restoration success, such as
437 reconnecting communities with nature (Shackelford et al. 2013), and increasing public awareness
438 for benefits of healthy ecosystem, thus importance of restoring degraded ecosystem (Suding et
439 al. 2015) as well as their role in goal-setting (Shackelford et al. 2013). We found that these
440 innovations are crucial investments to reduce anthropogenic pressures and help ensure that the
441 restoration efforts can be sustained in the long run. These earlier lessons should be shared
442 broadly to promote peatland restoration in Indonesia.

443 *Definition of Peatland Restoration in Indonesia*

444 Incorporating human dimensions in defining and practicing ecological restoration has
445 been advocated before (e.g. Shackelford et al. 2013, Higgs et al. 2014, Suding et al. 2015, Martin
446 2017). Many projects reported in the Global Restoration Network (GRN) include some social
447 values in their goals, such as education, economic benefits, community engagement, governance
448 and cultural values (Hallett et al. 2013). In response to the growing recognition for human
449 elements of ecological restoration, the recent revisions of international standards and principles
450 accommodated social aspects and emphasized public engagement (McDonald et al. 2016; Gann
451 et al. 2019). However, ecological restoration is yet to be redefined despite of the growing body
452 of literatures arguing for redefinition (e.g. Higgs et al. 2014; Martin 2017).

453 Based on the on-the-ground experiences from peatland restoration projects in Central
454 Kalimantan, we propose the following definition for peatland restoration in Indonesia: a process
455 of assisting the recovery of degraded peatland ecosystems to achieve the appropriate trajectories
456 that are defined through multi-stakeholder collaboration within social-ecological contexts. This
457 new definition acknowledges social dimension in the process of restoration, as well as its goals
458 and also recognizes the importance of collaborative process in setting ecological desirable and
459 socially feasible trajectory conditions. The proposed definition is meant to be a starting point for
460 academic and public discourse to create a shared vision for peatland restoration in Indonesia.
461 More research based on long-term monitoring is needed to 1) develop potential trajectory
462 conditions that promote the resilience of peat ecosystems; 2) link intermediate goals to long-term
463 effectiveness of restoration projects; and 3) promote better understanding of socio-ecological
464 system encompassing peatland systems and drivers of changes.

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TABLE 1. Study area, consisting of four peatland restoration projects in Central Kalimantan, Indonesia.

| | Project A | Project B | Project C | Project D |
|----------------------------|----------------|-----------------------------------|----------------|----------------|
| Main actor | NGO | National government agency | Private sector | Private sector |
| Supporting actor | National park | NGO | NGO | University |
| Project Area | 568,700 ha | 607,969 ha | 149,800 ha | 25,000 ha |
| Land Class | Forest estate. | Forest estate; non-forest estate. | Forest estate. | Forest estate. |
| Project Duration (planned) | 30 years. | 5 years. | 60 years. | 25 years. |
| Number of Respondents | 13 | 19 | 10 | 5 |

* Under the Indonesian Agrarian Law (Law No. 5 of 1960) and Forestry Law (Law No. 41 of 1999), all land in Indonesia is classified as either ‘forest estate’ (*Kawasan Hutan*) or ‘non-forest estate’ (*Area Penggunaan Lain*). Forest estate belongs to the government and can be allocated to private concessions for specific uses. For Projects C and D, Ecosystem Restoration Concession licenses were issued to the companies. Non-forest estate land is managed by provincial government and can be converted into private ownership as well as used for non-forestry purposes.

Table 2. Definition of peatland restoration based on the respondents' perspective: percentage of respondents that stated some aspects of ecological and social dimensions.

| Definition of Peatland Restoration | Project A (NGO and National Park) | Project B (Government and NGO) | Project C (Private company and NGO) | Project D (Private company and university) |
|------------------------------------|--------------------------------------|-----------------------------------|--|---|
| Ecological dimension | 92% | 95% | 100% | 100% |
| Social dimension | 8% | 32% | 10% | 20% |
| Did not know | 8% | - | - | - |

Table 3. Ultimate and intermediate goals of peatland restoration: percentage of respondents that stated those goals.

| Goals of Peatland Restoration | Project A (NGO & National Park) | Project B (Governme nt & NGO) | Project C (Private company & NGO) | Project D (Private company & university) |
|--|--|--|--|---|
| <i>Ultimate goals (findings from the interviews)</i> | | | | |
| Ecological goals | 100% | 79% | 60% | 60% |
| Social goals | 38% | 58% | 70% | 40% |
| Protection from threats | 15% | - | - | - |
| Lesson learned for others | 8% | - | - | - |
| Carbon trading | - | - | 10% | 40% |
| Reducing GHG emission | - | 11% | 20% | - |
| <i>Intermediate goals (findings from the interviews)</i> | | | | |
| Ecological goals | 46% | 16% | 10% | 40% |
| Social goals | 15% | 42% | 10% | 60% |
| Fire prevention | 15% | 32% | 10% | 60% |
| Infrastructure for restoration | 31% | 47% | - | 60% |
| Planning | 15% | - | - | - |
| Research | 8% | - | - | - |
| Funding | 15% | - | - | - |
| Stopping further degradation | - | - | - | 20% |
| Achieving target as planned | - | 11% | 20% | - |
| Creating best management practices | - | 5% | - | - |
| Law enforcement | - | 11% | - | - |
| Mainstreaming restoration | - | 5% | - | - |
| Reducing GHG emission | - | - | 10% | - |
| Reducing threats | - | - | 10% | - |
| Promoting other benefits of peat | - | 5% | - | - |
| Institutionalization | - | 5% | - | - |
| Did not know | - | - | - | - |

- : no or not enough information available

Table 4. Pre-restoration and main restoration activities in restoring degraded tropical peatlands of Central Kalimantan, Indonesia

| Peatland Restoration Activities | Project A (NGO and National Park) | Project B (Government and NGO) | Project C (Private company and NGO) | Project D (Private company and university) |
|--|--|---|--|---|
| <i>Pre-Restoration Activity (derived from the interviews)</i> | | | | |
| Mapping | √ | √ | √ | √ |
| Survey | √ | √ | √ | √ |
| Obtaining permit/ business license | - | - | √ | √ |
| Agreement | √ | √ | √ | √ |
| Planning | √ | √ | √ | √ |
| Socialization/ campaign | √ | √ | √ | √ |
| Research | √ | √ | √ | √ |
| <i>Main Restoration Activity (derived from the interviews)</i> | | | | |
| Rewetting | √ | √ | √ | √ |
| Revegetation | √ | √ | √ | √ |
| -Trees adoption | √ | - | - | - |
| Revitalization of livelihood | √ | √ | √ | √ |
| Monitoring and patrol | √ | √ | √ | √ |
| Research | √ | √ | √ | √ |
| -Young researchers program | - | √ | - | - |
| -Living classroom | - | - | - | √ |
| Socialization/ campaign | √ | √ | √ | √ |
| -Agroecology school | - | - | √ | - |
| Advocacy to government | - | √ | √ | √ |
| Supporting infrastructure | √ | - | - | √ |
| Fire prevention | √ | √ | √ | √ |
| Planning | √ | √ | √ | √ |
| Forming community group | √ | √ | √ | √ |
| Initiating trust fund | √ | - | - | - |

- : no or not enough information available

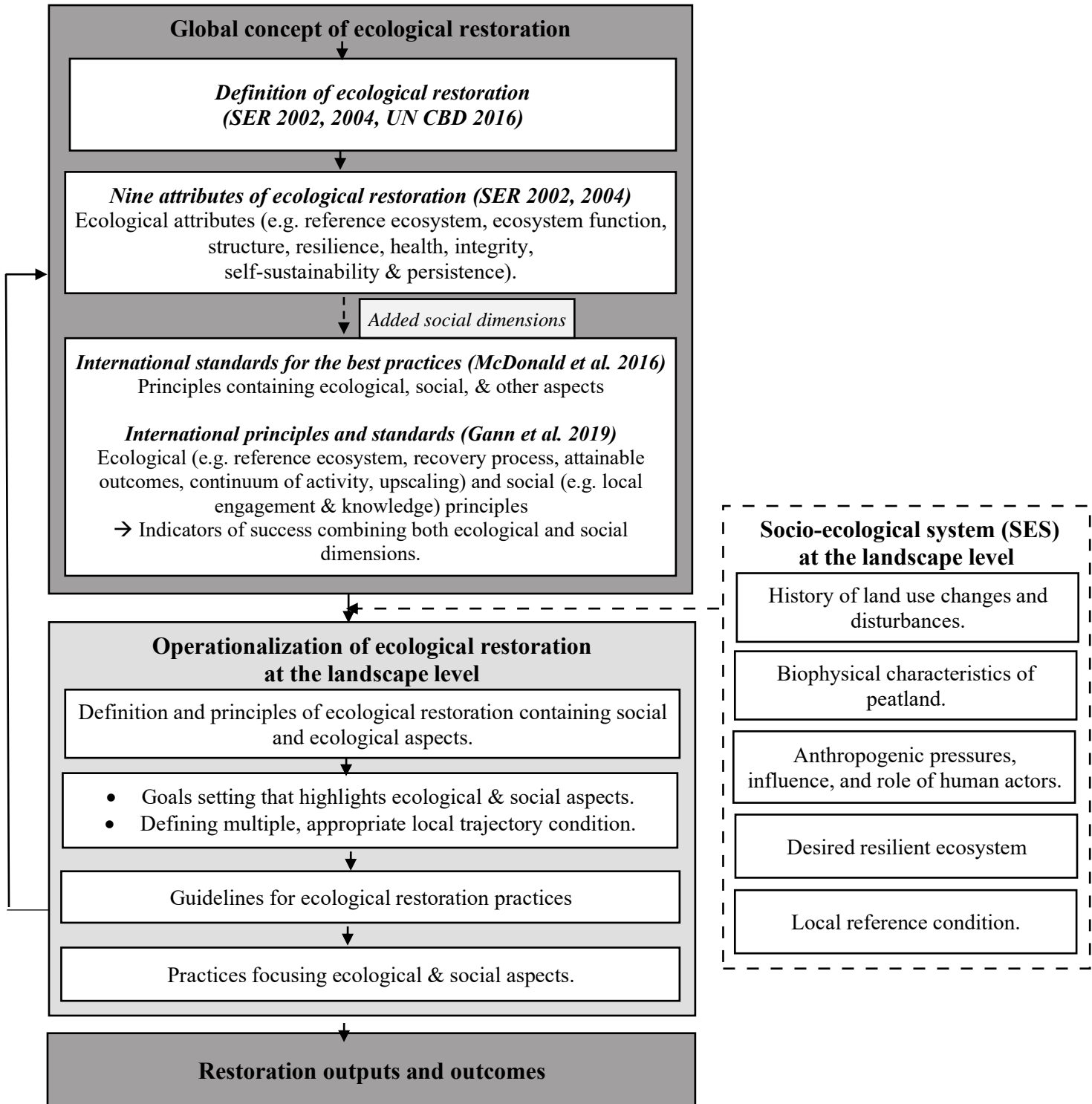


Figure 1. The process of translating and operationalizing ecological restoration into a project at the landscape level. The current definition primarily focuses on ecological fidelity and insufficiently accommodates social dimension. The project goal setting and planning should consider the key elements of the socio-ecological system (SES) at the landscape level. Redefining ecological restoration within the given SES is important to determine achievable intermediate and long-term restoration goals.

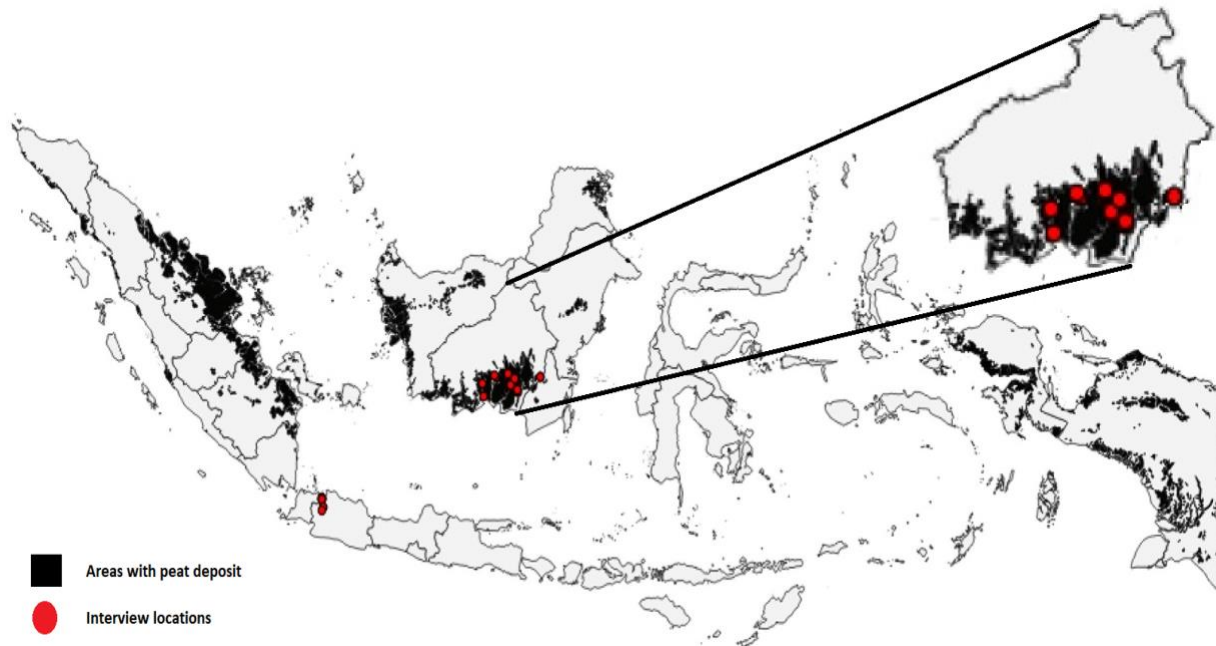


Figure 2 Map of Indonesia's tropical peatland (Scale 1: 16,000,000). Area with peat deposits indicated in black color. This study's field visits and interview areas in Kalimantan are marked with red dots.